

418 Re PCT/PTO C 8 JUL 1999

#3 PCT

PATENT

Docket No. 406462000200

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Assistant Commissioner for Patents, Washington, D.C. 20231 on July 7, 1999.

LaVerne Whetstone

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

George H. LOWELL et al.

Serial No.: 09/214,701

Filing Date: January 8, 1999

For: PROTEIN AND PEPTIDE VACCINES
FOR INDUCING MUCOSAL
IMMUNITY



Examiner: Unassigned

Group Art Unit: Unassigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.



This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 406462000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 7, 1999

Respectfully submitted,

By:

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